

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : LEWIS MICHAEL POPPLEWELL, et al

Serial No. : TO BE DETERMINED (TBD) Art Unit: TBD

Filed : TBD Examiner: TBD

For : CELLULOSE-BASED PARTICLES OR LIQUIDS AND METHODS
FOR THEIR PREPARATION AND USE

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October 24, 2003

(Date of Deposit)

JOSEPH F. LEIGHTNER

(Name of applicant, assignee, or Registered Representative)


(Signature)

October 24, 2003

(Date of Signature)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within

three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with §1.97(e) is set forth below; or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:

☐ Certification in Accordance with §1.97(e) is set forth below; or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$240.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Certification in Accordance with §1.97(e) as set forth below and the fee of \$130.00 as set forth in §1.17(i)(1).

☒ Copies of each of the references listed on the attached Form PTO-1449 with the exception of U.S. Patents and/or Patent Applications are enclosed herewith. Applicants respectfully submit that these references are not required inasmuch as this application is filed after June 30, 2003.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .


☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 12-1295. This form is submitted in triplicate.

Respectfully submitted,


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Date: October 24, 2003

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No.	Serial No.
	IFF-24-1	TO BE DETERMINED (TBD)
	Applicant LEWIS MICHAEL POPPLEWELL	
	Filing Date	Group Art Unit
	TBD	TBD

U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,534,891	8/13/85	Boden, et al			
	5,215,757	6/1/93	El-Nokaly			
	5,599,555	2/4/97	El-Nokaly			
	5,654,006	8/5/97	Fernandez, et al			
	6,187,351	2/13/01	Porzio, et al			
	6,245,366	6/12/01	Popplewell, et al			
	4,259,355	3/31/91	Marmo, et al			
	4,479,969	10/30/84	Bakal, et al			
	4,534,891	8/13/85	Boden, et al			

FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	
	WO 92/16195 A1	10/1/92	PCT				
	WO 01/20985 A1	3/29/01	PCT				

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

		"Encapsulation System for Flavors and Colors", Popplewell, et al, FOOD TECHNOLOGY, May, 1995, pages 76-82

Examiner:	Date Considered
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

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U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	4,963,369	10/16/90	Song, et al			
	5,089,307	2/18/92	Ninomiya, et al			
	5,128,155	7/7/92	Song, et al			
	5,185,176	2/9/93	Chiu			
	5,266,335	11/30/93	Cherukuri, et al			
	5,409,715	4/25/95	Meyers			
	5,599,555	2/4/97	El-Nokaly			
	6,110,520	8/29/00	He, et al			
	6,190,705	2/20/01	Richey			

FOREIGN PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one)	
						Yes	No

OTHER REFERENCES (include author, title, date, pertinent pages, etc.)

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U.S. PATENT DOCUMENTS

Exam'r Init.	Document No.	Date	Name	Class	Sub Class	File Date
	6,333,180	12/25/01	Farbood, et al			
	6,419,903	7/16/02	Xu, et al			
	6,479,082	11/12/02	Johnson, et al			
	6,537,595	3/25/03	Hyodo, et al			
	2002/0155200	10/24/02	Macquarrie			
	2003/0035841	2/20/03	Dzija, et al			
	2003/0099691	5/29/03	Lydzinski, et al			
	2003/0099692	5/29/03	Lydzinski, et al			

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Exam'r Init.	Document No.	Date	Country	Class	Sub Class	Translate (select one) Yes No	

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